

# Taking Control of AI

Sovereign AI and Open Source in Financial Services



Vincent Caldeira  
CTO APAC, Red Hat

# The AI Tipping Point

## Control and Trust Redefine AI's Strategic Reality



### AI as a Strategic Imperative

AI has evolved from a technical advantage to a critical strategic asset demanding C-suite leadership and attention.



### The Shift to "Show Us": Regulatory Assertiveness

Global regulatory bodies are increasingly assertive, demanding transparency, auditability, and local control over AI to ensure compliance and trust.

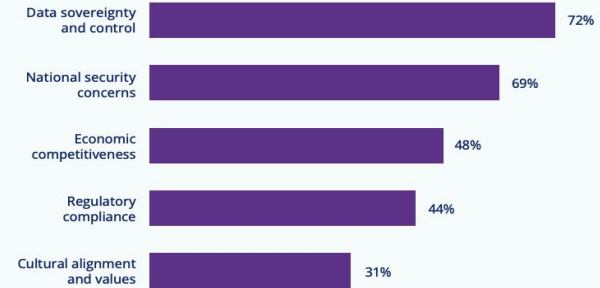


### Sovereign AI: A New Foundation for Control

Nations and organizations are prioritizing sovereign AI to maintain control over their AI stack, data, and decision-making in an increasingly fragmented digital economy.

### KEY INTEREST DRIVERS IN SOVEREIGN AI

In your opinion, what is driving interest in Sovereign AI? (select all that apply)



2025 Global Collaboration in AI Survey, Q16,  
Sample Size = 233, Total Mentions = 607, DKNS excluded (3%)

# 79%

of organizations view Sovereign AI as a strategic priority

# 82%

of organizations are developing customized AI solutions to maintain control over their capabilities and intellectual property

# 93%

of organizations view global collaboration as essential for building secure and culturally aligned sovereign AI systems




Sources:

[The State of Sovereign AI: Exploring the Role of Open Source Projects and Global Collaboration in Global AI Strategy](#) (Linux Foundation Research, August 2025)



# The AI Imperative vs. Regulatory Reality in Financial Services

Sovereign AI is not just about technology ownership but also operational control

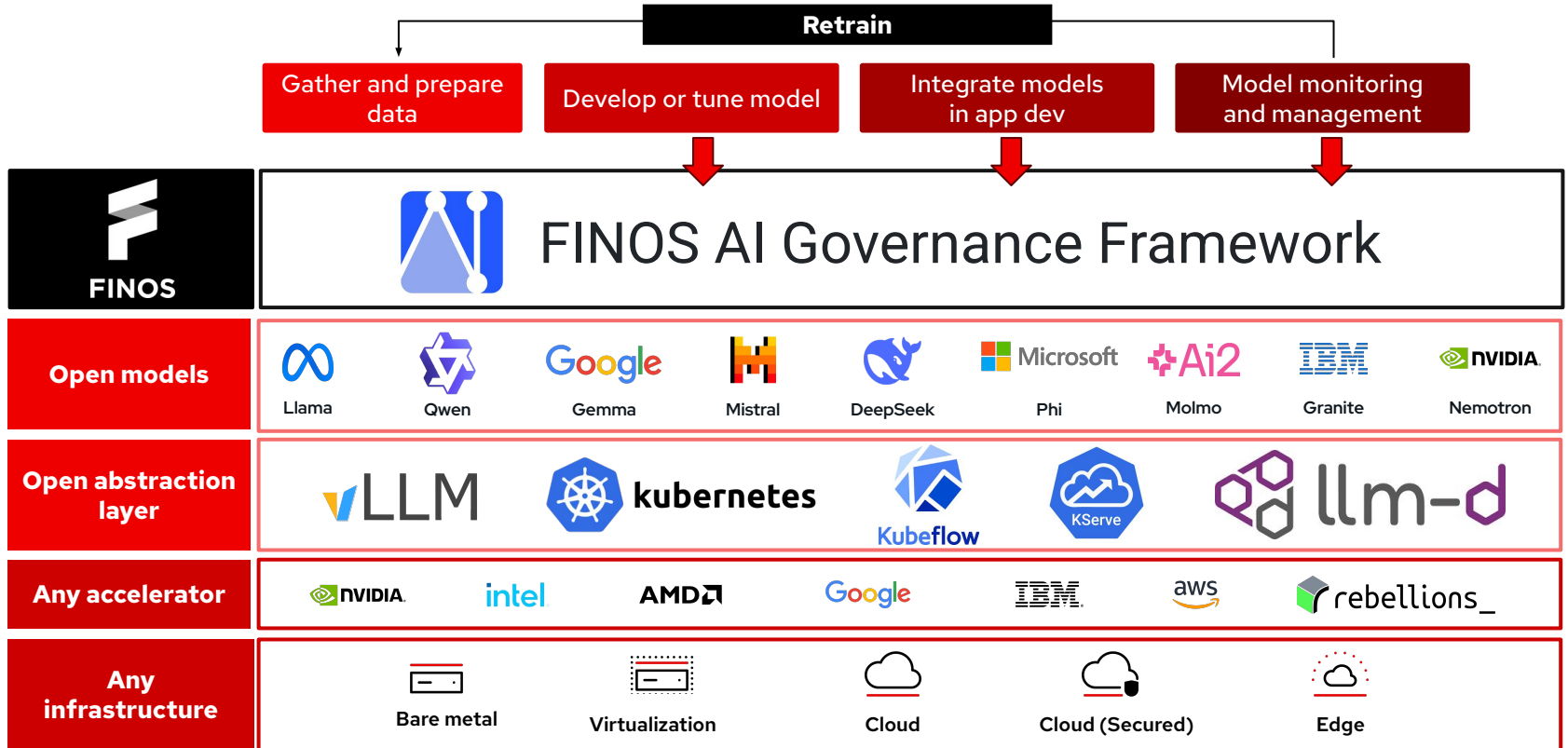
Risk Dimension	The Black-Box Approach	The Open Approach
 <b>Model Risk &amp; Explainability</b>	<b>Opaque Provenance:</b> Unknown training data; sudden logic shifts; unable to trace or audit model weights.	<b>Glass-Box Auditing:</b> Full access to model weights and training lineage; transparent behavior and fine-tuning.
 <b>Concentration Risk</b>	<b>Vendor Lock-In:</b> Absolute reliance on a handful of foreign hyperscalers; exposed to extraterritorial laws.	<b>Strategic Autonomy:</b> Modular architectures; portability across private, hybrid, and sovereign cloud environments.
 <b>Operational Resilience</b>	<b>Data Exposure:</b> Sensitive financial telemetry sent outside the institution's jurisdictional control.	<b>Data Residency:</b> Complete jurisdictional control; zero-trust architecture protecting PII and IP.

**What is Sovereign AI in Banking?** The independent control over the entire AI stack - Data, Infrastructure, and Model. This requires moving from renting opaque intelligence to owning a governable, verifiable asset.



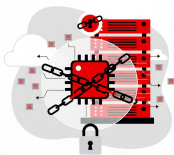
# Architecting Your Sovereign AI Stack

Open source delivers the choice, visibility, and standardized governance required to own your AI



# Taking Action: The Path to Trusted AI

The future of AI is open. Let's build your sovereign, secure, and efficient AI future together.



## Establish an Open Platform Foundation

Abstract your hardware. Deploy platforms that guarantee workload portability across any infrastructure, ensuring isolation from geopolitical or vendor-driven disruptions.



## Adopt Open Models and Transparent AI Supply Chain

Utilize open-weight models over an internal "Model-as-a-Service" to retain control over your intellectual property, financial data, and decision logic.



## Implement Governance-as-Code across your AI Platform

Leverage FINOS AIGF. Shift risk management left by integrating machine-readable compliance, threat-modeling, and continuous evaluations directly into your CI/CD pipelines.

**10.50am**

**Building the Largest Private Financial Services GPU Farm in Canada**

*Jin Sung Kang, RBC Borealis & Anthony Green, Red Hat*

Explore infrastructure sovereignty and how to secure compute autonomy at enterprise scale.

**11.10am**

**Agents on a Leash: Deterministic Agentic AI for Financial Services**

*Aric Rosenbaum, Red Hat*

Discover how to enforce strict algorithmic boundaries and operational resilience on autonomous systems.

**3.25pm**

**Operationalizing Agentic AI Safety & Eval for Multi-Agent Financial Systems**

*Vincent Caldeira & Valentina Rodriguez Sosa, Red Hat*

Learn how to apply FINOS "glass-box" evaluations and governance pipelines to complex, multi-agent workflows.



# Thank you

Red Hat is the world's leading provider of enterprise open source software solutions. Award-winning support, training, and consulting services make Red Hat a trusted adviser to the Fortune 500.



[linkedin.com/company/red-hat](https://www.linkedin.com/company/red-hat)



[youtube.com/user/RedHatVideos](https://www.youtube.com/user/RedHatVideos)



[facebook.com/redhatinc](https://www.facebook.com/redhatinc)



[x.com/RedHat](https://x.com/RedHat)